



ที่ IOD. CAC050/2018

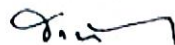
16 มีนาคม 2561

เรื่อง ขอบขอบคุณ
เรียน Mr.ICHIRO MURATA
Chief Executive Officer
บริษัท ยัวซ่าแบตเตอรี่ ประเทศไทย จำกัด (มหาชน)

สมาคมส่งเสริมสถาบันกรรมการบริษัทไทย หอการค้าไทย หอการค้าต่างชาติ สมาคมบริษัทจดทะเบียนไทย สมาคมธนาคารไทย สมาอุตสาหกรรมแห่งประเทศไทย สภาธุรกิจตลาดทุนไทย และสภาอุตสาหกรรมท่องเที่ยวแห่งประเทศไทย ขอขอบคุณ บริษัท ยัวซ่าแบตเตอรี่ ประเทศไทย จำกัด (มหาชน) เป็นอย่างยิ่งที่กรุณาเข้าร่วมการประกาศเจตนารมณ์เป็นแนวร่วมปฏิบัติ (Collective Action Coalition) ของภาคเอกชนไทยในการต่อต้านการทุจริต ภายหลังจากนี้ บริษัทท่านสามารถเข้าร่วมกระบวนการรับรอง โดย คณะกรรมการแนวร่วมปฏิบัติของภาคเอกชนไทยในการต่อต้านการทุจริต (Private Sector Collective Action Coalition Council) มีความคาดหวังว่า บริษัทท่านจะสามารถผ่านกระบวนการรับรองได้ภายในระยะเวลา 18 เดือนนับตั้งแต่วันที่ประธานกรรมการแนวร่วมปฏิบัติได้ลงนามรับทราบ

ทั้งนี้ บริษัทท่านสามารถเข้าร่วมกิจกรรมที่โครงการแนวร่วมปฏิบัติฯ จะจัดขึ้นต่อไปในอนาคตได้อาทิเช่น การเข้าร่วมสัมมนา การฝึกอบรมหลักสูตรต่อต้านการทุจริต เป็นต้น หากท่านมีข้อซักถามประการใดสามารถสอบถามได้ที่ นายกิตติเดช จันทังกุล ผู้ประสานงานโครงการฯ โทรศัพท์ 02-955-1155 ต่อ 302 หรือ kittidej@thai-iod.com

ขอแสดงความนับถือ



(ดร. บัณฑิต นิजार)

กรรมการและเลขานุการ

โครงการแนวร่วมปฏิบัติของภาคเอกชนไทยในการต่อต้านทุจริต



DECLARATION OF INTENT IN VIEW OF ESTABLISHING THAILAND'S PRIVATE SECTOR COLLECTIVE ACTION COALITION AGAINST CORRUPTION

The Opinion Survey of Private Sector Leaders on Anti-Corruption Collective Action in Thailand, developed by the Thai Institute of Directors, and jointly conducted with the Thai Chamber of Commerce, the Joint Foreign Chambers of Commerce in Thailand, the Thai Listed Companies Association, the Thai Bankers Association, The Federation of Thai Capital Market Organizations, the Federation of Thai Industries and Tourism Council of Thailand, made clear once again that corruption in all its forms is a major handicap for the country's development.

The Survey also revealed a growing commitment and willingness by the private sector to contribute to the elimination of corruption by strengthening ethical behavior in all business activities.

In order to reach that goal, participants to the initiative described in this document declare their intent to enter a process of Collective Action by the private sector with the objective to create a Coalition Against Corruption, that will work in cooperation with Government, civil society, media, and international organizations to foster cleaner business practices.

Based on the framework set by the United Nations Global Compact's 10th Principle Againsts Corruption, on the Business Principles for Countering Bribery established by Transparency International, as well as the work done by the World Bank, the Center for International Private Enterprise and other international organizations and initiatives, the participants to this Thai private sector initiative agree to work together in steps.

The Coalition will seek to involve all public and private sector partners, the oversight agencies and all parts of the Thai society to form a community to be concerned with shared processes involving their common interests, experiences and wishes, with a view toward improving the country's competitive advantages and achieving higher levels of development, toward which end long-term goals have been established that will make it possible to decrease currently existing levels of corruption.

This Coalition intends to fully support and participate to the Nation Anti-Corruption Strategy devised and implemented by the National Anti-Corruption Commission and the Government. We realize that individual and collective commitment from businesses to level the playing field and to build integrity in the business environment is required to ensure the full success of that strategy.

As directors and executives of established companies in Thailand, the participants to this Thai private sector initiative acknowledge our companies' responsibility to lead by example in the fight against corruption, to operate businesses ethically, with integrity and to implement business principles to counter corruption in all its forms.



In view of the above, participating companies to this Coalition:

1. Will work internally to assess risks related to corruption, implement Anti-Corruption policies and compliance programs and provide business conduct guidance to managers and employees.

- 1.1. These policies will prohibit bribery in any form in all activities under our control and ensure that charitable and political contributions, business gifts, and sponsorships are transparent and will not be for the purpose of attempting to influence the recipient, whether government or private, into an improper exercise of functions, duties or judgment;
- 1.2. These policies will be publicized internally through codes of conduct, to guide management and employees towards ethical and accountable behaviour at all times, and will apply appropriate sanctions for violations of the code;
- 1.3. Training programs will be provided to employees to promote integrity, honesty, and accountability in the exercise of their duties and responsibilities and to convey with resolve our company's commitment to ethical business practices;
- 1.4. Appropriate internal systems and controls will be implemented to prevent unethical conduct by employees, ensure good governance, and institutionalize the values of integrity and accountability in our business;
- 1.5. Appropriate financial reporting mechanisms will be maintained that are accurate and transparent;
- 1.6. Appropriate channels of communication will be provided to employees and other stakeholders so they can raise ethical concerns and report suspicious circumstances in confidence without risk of reprisal, and a designated officer will be tasked with investigating all reports received;

2. Will disclose and share internal policies, experiences, best practices and success stories to foster ethical, clean, and transparent business transactions in Thailand.

- 2.1. We will support a nationwide initiative intended to create fair market conditions, transparency in business transactions and ensure good corporate governance;
- 2.2. We will participate in roundtable discussions, meetings and forum to identify the key concerns and current problems affecting the private sectors related to integrity and transparency in business transactions;



3. Will reach out to industry peers, suppliers and other stakeholders via the Coalition and participate in joint activities to fight corruption.

- 3.1. We will share “best practice”, tools and concepts which are intended to be used by all participating entities to achieve the goals of the nationwide initiative;
- 3.2. We will enter into integrity pacts with other businesses and with government agencies if required when dealing with procedures related to the bidding and procurement of supplies, materials, equipment and construction;
- 3.3. We will assist and contribute ideas to develop a common framework for the "Business Code of Conduct" acceptable to all participating entities;
- 3.4. We will participate in the creation of key measures and control activities intended to ensure transparency, integrity and ethical business practice;
- 3.5. We will cooperate with civil society and the media to create awareness and educate the public in changing social values to condemn corruption in all forms;
- 3.6. We will support the development of an audit and certification program (including a training program for advisers and auditors) that will offer a toolbox for enterprises to introduce and implement ethical practices in their business processes; and institutionalize the whole process to promote sustainability of this Anti-Corruption Coalition initiative;
- 3.7. We will cooperate with the certification process of the Collective Action Coalition Council, due to take place every three years.

Company joining the CAC is obliged to comply with criteria, guidelines, and conditions specified in the

- ❖ CAC Principles & Working Definitions
- ❖ Guidelines for companies joining the CAC
- ❖ Disclose accurate and comprehensive information relevant to details specified in the self-disclosure company profile and
- ❖ Self-Evaluation tools on Anti-Corruption measures.



The company agrees that documents attached to this Declaration of Intent are part of the Declaration and that they are accountable for by the company. On behalf of the company, you confirm that you have read and fully understand contents of this Declaration of Intent and all attached documents and hereby sign:

Acknowledged by :

Accepted by :

.....
(Mr. Ichiro Murata)

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Position : ...Chief Executive Officer.....

Chairman,

Company : Yuasa Battery (Thailand) Public Company Limited

The Collective Action Council

Date : **07 FEB 2010**

Date